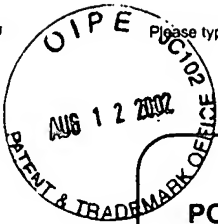


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AUTHORIZATION OF AGENT**

<b>Application Number</b>	10/057,826
<b>Filing Date</b>	01/24/02
<b>First Named Inventor</b>	Charles E. Tucker
<b>Group Art Unit</b>	1621
<b>Examiner Name</b>	Unassigned
<b>Attorney Docket Number</b>	021153-001400US

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☒ Assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership is 50%. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assign**

<b>Name</b>	William P. Bivins, V.P. & Sec. DSM Catalytic Pharmaceuticals, Inc.
<b>Signature</b>	<i>[Handwritten Signature]</i>
<b>Date</b>	5/1/02

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 form is submitted.

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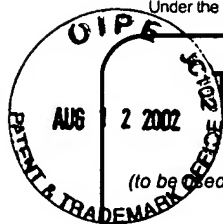
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/057,826	
	Filing Date	January 24, 2002	
	First Named Inventor	Tucker, Charles E.	
	Group Art Unit	1621	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	9	Attorney Docket Number	021153-001400US

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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Postcard; Statement Under 37 CFR 3.73(b)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP William B. Kezer	Reg. No. 37,369
Signature		
Date	August 6, 2002	

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:		
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Attorney Docket No. 021153-001400US

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Charles E. Tucker and Qiongzong Jiang

Application No./Patent No.: 10/057,826

Filed/Issue Date: January 24, 2002

Entitled: PROCESS FOR THE PREPARATION OF NONRACEMIC SYN-1-(4-HYDROXY-PHENYL)-2-(4-HYDROXY-4-PHENYL-PIPERIDIN-1YL)-1-PROPANOL COMPOUNDS

DSM Catalytica Pharmaceuticals, Inc.

Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of an undivided part interest.

The extent (by percentage) of its ownership is 50%

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Charles E. Tucker and Qiongzong Jiang To: DSM Catalytica Pharmaceuticals, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: DSM Catalytica Pharmaceuticals, Inc. To: Pfizer, Inc. (50%) retaining (50%).  
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3. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

**[NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

4/26/02

Date

William P. Biivins

Signature

William P. Biivins

Typed or printed name

V.P., Corporate Legal Affairs

Title

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